


Electronic Filing System (EFS) Data
Electronic Patent Application Submission
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Application ID: 09681902
Title of Invention: METHOD FOR ASSESSING
EQUITY ADEQUACY
First Named Inventor: Chistine Cooper
Domestic/Foreign Application: Domestic Application
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Confirmation Number: 0
Attorney Docket Number: 200-0884
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Total Fees Authorized: \$750.0

Payment Category: DA - Deposit Account
Deposit Account Number: 61510
Deposit Account Name: Sheri L. Charles

TRANSMITTAL FORM

jc474 U.S. PTO
09/681902
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Electronic Version 1.0.2

Stylesheet Version: 1.0

Attorney Docket Number: 200-0884

METHOD FOR ASSESSING EQUITY ADEQUACY

First Named Inventor: Chistine M. Cooper

SUBMITTED BY

Organization Name: Ford Motor Company
Name: Sheri Lynn Charles Electronic
Application Specialist
Electronic Signature Mark: /slc Date Signed: 20010622

I certify that the use of this system is for OFFICIAL correspondence between patent applicants or their representatives and the USPTO. Fraudulent or other use besides the filing of official correspondence by authorized parties is strictly prohibited, and subject to a fine and/or imprisonment under applicable law.

I, the undersigned, certify that I have viewed a display of document(s) being electronically submitted to the United States Patent and Trademark Office, using either the USPTO provided style sheet or software, and that this is the document(s) I intend for initiation or further prosecution of a patent application noted in the submission. This document(s) will become part of the official electronic record at the USPTO.

Attached Files:

| | |
|-------------------|-------------------|
| bibd-transmittal | 200-0884apds.xml |
| fee-transmittal | 200-0884fee.xml |
| patent-assignment | 200-0884asgn.xml |
| specification | specification.xml |
| declaration | declaration.tif |

Comments:

1. The first part of the document is a list of the names of the people who were interviewed for the study. The names are listed in alphabetical order. The second part of the document is a list of the questions that were asked of the people who were interviewed. The questions are listed in the order in which they were asked. The third part of the document is a list of the answers that were given to the questions. The answers are listed in the order in which they were given. The fourth part of the document is a list of the conclusions that were drawn from the study. The conclusions are listed in the order in which they were drawn.

Declaration, Power of Attorney and Assignment

As the below named inventor(s),

| NAME AND MAILING ADDRESS OF INVENTOR: | RESIDENCE | CITIZENSHIP | DATE OF SIGNATURE |
|--|----------------------------------|-------------|-------------------|
| Christine M Cooper 6 Cromer Road Hornchurch, Essex RM11 1EZ GB | Hornchurch, Essex RM11 1EZ GB | GB | 05/15/0 |
| Mike Gulkewicz 45109 Brunswick Canton, Mich 48187 US | Canton, Mich 48187 US | US | 05/11/0 |
| Mario Spivak 4223 Spring Lake Blvd. Ann Arbor, MI 48108 US | Ann Arbor, MI 48108 US | US | 05/11/0 |
| Mark Turner 7277 Simsbury Dr. West Bloomfield, MI 48322 US | West Bloomfield, MI 48322 US | US | 05/11/0 |
| Neil Schloss 4380 patrick Road West Bloomfield US | West Bloomfield US | US | 05/11/0 |
| Paul Duncan McCarthy 5614 Walnut Hall Ann Arbor MI 48105 US | Ann Arbor MI 48105 US | US | 05/11/0 |
| Ron Alan Pollard 21142 Chase Drive Novi, Michigan 48375 US | Novi, Michigan 48375 US | US | 05/11/0 |
| Yong Yang 3416 Honeysuckle Ct. Ann Arbor, MI 48103 US | Ann Arbor, MI 48103 US | CN | 05/11/0 |

I/we declare that:

This Declaration, Power of Attorney and Assignment is directed to the invention claimed in the patent application entitled: **MET ASSESSING EQUITY ADEQUACY**; Attorney docket number: **200-0884**

Declaration - I/we believe that I/we am/are the original and first inventor(s) of the subject matter which is claimed and for which is sought on the above-identified invention;

I/ we have reviewed and understand the contents of the above-identified application, including the claims, as amended by any specifically referred to above;

I/we acknowledge the duty to disclose to the United States Patent and Trademark Office all information known to me/us to be relevant to the patentability as defined in 37 CFR 1.56, including material information which became available between the filing date of the present application and the National or PCT International filing date of the continuation-in-part application, if applicable; and

All statements made herein of my/own knowledge are true, all statements made herein on information and belief are believed to be true and further that these statements were made with the knowledge that willful false statements and the like are punishable by fine or imprisonment, under 18 U.S.C. 1001, and may jeopardize the validity of the application or any patent issuing thereon.

Power of Attorney - I/we hereby appoint Practitioners at Customer No. 28395 as my/our attorney(s) or agent(s) to prosecute the application identified above, and to transact all business in the United States Patent and Trademark Office and all foreign Patent Offices.

Assignment - Pursuant to my/our employment agreement with Ford Motor Company or a Ford Associated Company, or pursuant to a contractual obligation, I do sell, assign and transfer unto Ford Motor Company, the full and exclusive right for the United States and for all foreign countries to this invention, and any patent application depending from this invention including continuations, amendments, and reissues.

PATENT APPLICATION

ASSISTANT COMMISSIONER FOR PATENTS
Washington, D. C. 20231

Case Docket No. **200-0884**
Date: June 13, 2001

Sir:

Inventor(s):

Yong Yang
Ron Pollard
Paul McCarthy
Nell Schloss
Mark Turner
Mario Spivak
Mike Gulkewicz
Christine Cooper

For: **METHOD FOR ASSESSING EQUITY ADEQUACY**

The attorney or agent below has reviewed this application and its attachments and consents to electronic filing by the assignee.

Respectfully submitted:



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Attorney or Agent of Record
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U.S. PATENT DOCUMENTS[illegible]

FOREIGN PATENT DOCUMENTS

[illegible]

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance.

FEE TRANSMITTAL

Electronic Version 1.0.4

Stylesheet Version: 1.0

Patent fees are subject to annual revisions on or about October 1st of each year.

Large Entity

TOTAL FEES AUTHORIZED: \$ 750

The commissioner is hereby authorized to charge indicated processing and/or publication fees and credit any overpayments to:

Deposit Account Number: 06-1510



Deposit Account Name: Ford Global Technologies, Inc.

Charge Any Additional Fee Required Under 37 C.F.R. Sections 1.16 and 1.17.

SUBMITTED BY

Authorized Name: Sheri L. Charles

Electronic Signature Mark: /slc

Date Signed: 20010622

BASIC FILING FEE

| Fee Description | Fee Code | Fee Paid |
|--------------------|----------|----------|
| Utility Filing Fee | 101 | \$ 710 |

Subtotal For Basic Filing Fee: \$ 710

EXTRA CLAIM FEES

| | Fee Code | Fee | Extra Claims | Fee Paid |
|-----------------------|----------|-------|--------------|----------|
| Total Claims: 8 | 103 | \$ 18 | 0 | \$ 0 |
| Independent Claims: 1 | 102 | \$ 80 | 0 | \$ 0 |

Subtotal For Extra Claims Fees: \$ 0

[illegible]Subtotal For Additional Fees: \$ 40